

LAP5 Rec'd PCT/PTO 28 SEP 2006

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **10/594844**

In re application of

Docket No: Q97404

Akira OHUCHI, et al.

Appln. No.: Not assigned yet

Group Art Unit: Not assigned yet

Confirmation No.: Not assigned

Examiner: Not assigned yet

Filed: September 28, 2006

For: SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THEREOF

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Patent Application Publication No. 2001-332583, published November 30, 2001, along with English-Language Abstract.
2. Japanese Patent Application Publication No. 2002-057186, published February 22, 2002, along with English-Language Abstract;
3. Japanese Patent Application Publication No. 2004-103928, published April 2, 2004, along with English-Language Abstract;

LAP5 Rec'd PCT/PTO 28 SEP 2006
10/594844

INFORMATION DISCLOSURE STATEMENT
U.S. Appln. to Akira OHUCHI, et al.

4. Japanese Patent Application Publication No. 2003-179100, published June 27, 2003,
along with English-Language Abstract;

5. Japanese Patent Application Publication No. 2002-343829, published November 29,
2002, along with English-Language Abstract;

6. Japanese Patent Application Publication No. 2000-138255, published May 16, 2000,
along with English-Language Abstract and

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under § 1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants state that reference 1 is cited within the specification beginning at page 6, line 26. Applicants also enclose herewith a copy of an International Search Report citing document 2-6, indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.


LAP5 Rec'd PCT/PTO 28 SEP 2006

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The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,


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WASHINGTON OFFICE

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CUSTOMER NUMBER

Date: September 28, 2006

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Substitute for Form 1449 A & B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT***(use as many sheets as necessary)*

Application Number	Not assigned yet
Confirmation Number	Not assigned yet
Filing Date	September 28, 2006
First Named Inventor	Akira OHUCHI
Art Unit	Not assigned yet
Examiner Name	Not assigned yet
Attorney Docket Number	Q97404

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			
		US			
		US			
		US			
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		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	2001-332583	A	11-30-2001		
		JP	2002-057186	A	02-22-2002		
		JP	2004-103928	A	04-02-2004		
		JP	2003-179100	A	06-27-2003		
		JP	2002-343829	A	11-29-2002		
		JP	2000-138255	A	05-16-2000		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.